

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Muzinich, Justin

Counselor to the Secretary, Department of the Treasury

Date of Appointment: 02/27/2017

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Muzinich, Justin [electronically signed on 03/28/2017 by Muzinich, Justin in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Horton, Elizabeth A, Certifying Official [electronically signed on 05/05/2017 by Horton, Elizabeth A in Integrity.gov]

Other review conducted by

/s/ Paisley, Daniel, Ethics Official [electronically signed on 04/26/2017 by Paisley, Daniel in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 04/26/2017

Data Revised 04/12/2017

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	New York Presbyterian Hospital		New York, New York	Hospital	Trustee	11/2016	Present
2	Friend Trust #1 (HRB)		New York, New York	Trust	Trustee	6/2016	2/2017
3	Family Trust #1	See Endnote	New York, New York	Trust	Trustee	1/2008	2/2017
4	Family Trust #2	See Endnote	New York, New York	Trust	Trustee	1/2011	Present
5	Family Trust #3	See Endnote	New York, New York	Trust	Trustee	1/2012	Present
6	Muzinich & Co.	See Endnote	New York, New York	Corporation	President	3/2012	2/2017
7	Muzinich & Co.		New York, New York	Corporation	Director	1/2004	2/2017

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Muzinich & Co. Stock	See Endnote	N/A	\$5,000,001 - \$25,000,000	Company Income	\$9,853,077
2	Muzinich & Co. Stock Options		N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
3	Fidelity Simple IRA					

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	Fidelity Adv Growth & Income-CL T	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	Fidelity Adv Stock Selector Mid Cap - CL T	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Lincoln Financial 401k				
4.1	Franklin Income Adv	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
5	TD Ameritrade - IRA				
5.1	Cash		\$1,001 - \$15,000		None (or less than \$201)
5.2	Equinix, Inc. (EQIX)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
5.3	Mastercard (MA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.4	PowerShares QQQ Trust, Series 1 (QQQ)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.5	SPDR S&P 500 ETF Trust (SPY)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6	Columbia University			Earned Income	\$40,000
7	Receivable from Muzinich & Co.	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Muzinich & Co.	New York, New York	I will continue to participate in Muzinich & Co.'s 401(k) plan. No contributions will be made by my former employer after my resignation.	1/2004

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Muzinich & Company	New York, New York	President of Muzinich & Company.
2	Columbia University	New York, New York	Adjunct Professor

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	NY and Presbyterian Hospital			Wages	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US Bank Account (Institution #1) (Money Market Savings)	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
2	US Bank Account (Institution #1) (Checking)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3	US Bank Account (Institution #2) (Savings)	N/A	\$1,000,001 - \$5,000,000	Interest	\$1,001 - \$2,500
4	US Bank Account (Institution #2) (Checking)	N/A	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
5	TD Ameritrade				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	Cash	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
5.2	Mastercard (MA)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
5.3	Visa (V)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
5.4	Alphabet Inc Class C (GOOG)	N/A	\$100,001 - \$250,000		None (or less than \$201)
5.5	Alphabet Inc. Class A (GOOGL)	N/A	\$100,001 - \$250,000		None (or less than \$201)
5.6	PowerShares QQQ Trust, Series 1 (QQQ)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.7	SPDR S&P 500 ETF Trust (SPY)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.8	Wells Fargo & Co (WFC)	N/A	\$100,001 - \$250,000		\$2,501 - \$5,000
5.9	Amazon.com, Inc. (AMZN)	N/A	None (or less than \$1,001)		\$100,001 - \$1,000,000
5.10	Monsanto Company (MON)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
5.11	American Tower Corporation (AMT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
6	Fidelity Brokerage (Cash)		\$15,001 - \$50,000		None (or less than \$201)
7	Family Trust #3 - Muzinich & Co.	N/A	Over \$50,000,000	Share of company's earnings	\$5,720,000
8	2012 Trust				
8.1	Austin Family Partners	See Endnote			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.1.1	Charles Schwab US Treasury Fund	N/A	Over \$1,000,000		\$201 - \$1,000
8.1.2	Alden Global Opportunities Fund, LP- Receivable	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.3	Alden Global Value Recovery Fund, LP- Receivable	N/A	\$100,001 - \$250,000		None (or less than \$201)
8.1.4	Tiger Accelerator Partners, L.P.-Receivable	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.5	Tiger Ratan Capital Fund, LP-Receivable	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.6	Altria Group Inc	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
8.1.7	Anheuser-Busch InBev NV	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
8.1.8	Berkshire Hathaway Inc Del CL B	N/A	\$250,001 - \$500,000	Dividends	None (or less than \$201)
8.1.9	British American Tobacco PLC	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
8.1.10	Brown Forman Corp Cl A	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.1.11	Brown Forman Corp Cl B	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.1.12	Cie Financiere Richemont A	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
8.1.13	Comcast Corp New Cl A	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.1.14	Diageo PLC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.1.15	Heineken Holding NV	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.1.16	JCDecaux SA	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.1.17	Martin Marietta Matls Com	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.1.18	MasterCard Inc Cl A	N/A	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500
8.1.19	Nestle SA Sponsored ADR	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
8.1.20	Pernod Ricard SA	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
8.1.21	Philip Morris Intl Inc	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
8.1.22	Scripps Networks Interactive Cl A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.1.23	Swatch Group AG	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.1.24	Unilever NV NY Shs New	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
8.1.25	Wells Fargo & Co New	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
8.1.26	Tiger Accelerator Partners, L.P.	Yes	\$50,001 - \$100,000		\$15,001 - \$50,000
8.1.27	Anchor Bolt Fund, LP	Yes	\$250,001 - \$500,000		None (or less than \$201)
8.1.28	Senator Global Opportunity Fund, LP	Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
8.1.29	Teton Capital Fund, LP	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.1.30	Tiger Eye Partners, LP	Yes	\$50,001 - \$100,000		None (or less than \$201)
8.1.31	Yost Partners, LP	Yes	\$100,001 - \$250,000		None (or less than \$201)
8.1.32	Alphabet Inc Cl A	N/A	\$100,001 - \$250,000	Dividends	None (or less than \$201)
8.1.33	Alphabet Inc Cl C	N/A	\$100,001 - \$250,000	Dividends	None (or less than \$201)
8.1.34	Apple Inc	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
8.1.35	Bristol Myers Squibb Com	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.1.36	Coca Cola Co	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
8.1.37	Intel Corp	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
8.1.38	Johnson & Johnson	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
8.1.39	Kinder Morgan Inc	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
8.1.40	LegacyTexas Financial Group Inc	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
8.1.41	Merck & Co., Inc.	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
8.1.42	Microsoft Corp	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
8.1.43	Pepsico Inc	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.1.44	RSP Permian Inc		N/A	\$50,001 - \$100,000	Dividends	None (or less than \$201)
8.1.45	Shake Shack Inc - Class A		N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
8.1.46	Visa Inc Com Cl A		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.1.47	Alpenglow Management Group, LLC (Finch & Sons)	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
8.1.48	Azaya Therapeutics		N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
8.1.49	Bienville Argentina Opp Master Fund, LP		Yes	\$100,001 - \$250,000		None (or less than \$201)
8.1.50	BiO2 Medical, Inc		N/A	\$50,001 - \$100,000	Dividends	None (or less than \$201)
8.1.51	Halifax Investors II L.P.		Yes	\$1,001 - \$15,000		None (or less than \$201)
8.1.52	Healthpoint Capital Partners II, LP		No	\$250,001 - \$500,000	Portfolio Income	
8.1.52.1	Alphatec Spine, Inc.		N/A	None (or less than \$1,001)		None (or less than \$201)
8.1.52.2	BioHorizons		N/A	None (or less than \$1,001)		None (or less than \$201)
8.1.52.3	Prosomnus Sleep Technologies		N/A	None (or less than \$1,001)		None (or less than \$201)
8.1.53	IP Bennett, LP	See Endnote	N/A	\$15,001 - \$50,000	Real Estate Operations	\$1,298
8.1.54	IP Highland Park LP	See Endnote	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.1.55	IP Tenison, LP	See Endnote	N/A	\$100,001 - \$250,000		None (or less than \$201)
8.1.56	IP San Antonio Station, LLC	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
8.1.57	Jonah Energy LLC - Oil & Gas mineral Interest in Wyoming and Colorado	See Endnote	N/A	\$250,001 - \$500,000		None (or less than \$201)
8.1.58	RLH Investors II, LP	See Endnote	No	\$100,001 - \$250,000	Portfolio Income	\$23,169
8.1.58.1	Total Woman Holdings Inc	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
8.1.58.2	Avella Specialty Pharmacy	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
8.1.58.3	RLH Bluewolf Holding, LLC	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
8.1.59	RLH Investors III, LP	See Endnote	No	\$250,001 - \$500,000	Portfolio Income	\$13,492
8.1.59.1	Bluewolf Group	See Endnote	N/A			
8.1.59.2	DCRX Infusion	See Endnote	N/A			
8.1.59.3	RMG Group	See Endnote	N/A			
8.1.59.4	Sitworx	See Endnote	N/A			
8.1.59.5	The Chartis Group	See Endnote	N/A			
8.1.60	The Garden of the Gods LLC - Residential Real Estate Development	See Endnote	N/A	\$100,001 - \$250,000	Real Estate Operations	\$5,062

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.1.61	Las Vivoritas, Ltd - South Texas Ranch - Jim Hogg County	See Endnote	N/A	Over \$1,000,000	Ranch Operations	\$20,000
9	Fidelity - UTMA #1					
9.1	Cash		N/A	\$15,001 - \$50,000		None (or less than \$201)
10	SPDR S&P 500 (SPY)		Yes	\$15,001 - \$50,000		None (or less than \$201)
11	Fidelity - UTMA #2					
12	Cash			\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Muzinich & Co.	Note Payable	\$1,000,001 - \$5,000,000	2013	1%	9 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	3	Start date is approximate.
1.	4	Start date is approximate.
1.	5	Start date is approximate.
1.	6	Start date is approximate.
2.	1	This includes wages, bonuses and filer's share of company's earnings.
6.	8.1	This entity is owned both by the 2012 Trust and filer's spouse individually
6.	8.1.47	Breckenridge, CO - Medical marijuana dispensary.
6.	8.1.53	This entity holds residential property in Dallas, TX. Data per 2015 K-1.
6.	8.1.54	This entity holds residential property in Dallas, TX. Data per 2015 K-1.
6.	8.1.55	This entity holds residential property in Dallas, TX. Data per 2015 K-1.
6.	8.1.56	The entity holds residential property in San Antonio, TX. Data per 2015 K-1.
6.	8.1.57	Data per 2015 K-1.
6.	8.1.58	Data per 2015 K-1.
6.	8.1.58.1	Los Angeles, Calif. women's gym and spa facilities
6.	8.1.58.2	Phoenix, Ariz. specialty pharmacy company

PART	#	ENDNOTE
6.	8.1.58.3	holds an interest in Bluewolf Group, a New York software and IT consulting business
6.	8.1.59	Data per 2015 K-1.
6.	8.1.59.1	New York, N.Y. software and IT consulting business
6.	8.1.59.2	Sunrise, Fla. intravenous nutrition company
6.	8.1.59.3	New York, N.Y. digital advertising company
6.	8.1.59.4	Reston, Va. digital marketing software company
6.	8.1.59.5	Chicago, Ill. provider of services to hospitals
6.	8.1.60	Data per 2015 K-1.
6.	8.1.61	Estimated 2016 income

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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